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1 IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA
2 IN AND FOR THE COUNTY OF SAN FRANCISCO
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4 ROGER WRIGHT and THAIS WRIGHT,
5 Plaintiffs,
6 vs. No. 966580
7 ABEX CORPORATION, et al.,
8 Defendants.
9 _____/

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12 DEPOSITION OF
13 FREDERICK R. POOLEY, PH.D.
14 Wednesday, August 2, 1995
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19 REPORTED BY:
20 HOLLY THUMAN, CSR NO. 6834, RPR
21
22
23 TOOKER & ANTZ
24 CERTIFIED SHORTHAND REPORTERS 131 STEUART
STREET, SUITE 201
25 SAN FRANCISCO, CALIFORNIA 94105

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1 I N D E X
2 EXAMINATION BY: PAGE
3 MR. OHLEMEYER: 5
4 MR. HARLEY: 50
5 MR. OHLEMEYER: 65
6 MR. HARLEY: 68
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2 BE IT REMEMBERED that on Wednesday, August 2, 1995
3 commencing at 2:00 p.m., thereof, at Internews, 3220
4 Sacramento Street, San Francisco, California, before me,
5 HOLLY THUMAN, duly authorized to administer oaths pursuant
6 to Section 2093(b) of the California Code of Civil
7 Procedure, appeared via teleconference from the Cardiff

8 International Arena, World Trade Center, Cardiff, Wales,
9 United Kingdom,

10 FREDERICK R. POOLEY,
11 called as a witness, who, having been first duly sworn, was
12 examined and testified as hereinafter set forth.

13 APPEARANCES

14 BRAYTON, GISVOLD & HARLEY, 999 Grant Avenue,
15 Novato, California 94948, represented by PHILIP HARLEY and
16 GREGORY M. SHEFFER, Attorneys at Law, appeared as counsel on
17 behalf of the Plaintiffs.

18 SHOOK, HARDY & BACON, One Kansas City Place, 1200
19 Main Street, Kansas City, Missouri 64105, represented by
20 WILLIAM S. OHLEMEYER, Attorney at Law, appeared as counsel
21 on behalf of Defendant Lorillard.

22 PREUSS, WALKER & SHANAGHER, 595 Market Street,
23 16th Floor, San Francisco, California 94105, represented by
24 DONALD F. ZIMMER, JR., Attorney at Law, appeared as counsel
25 on behalf of Defendant Hollingsworth & Vose Co.

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1 (Appearances, cont'd)

2 NUTTER, McCLENNEN & FISH, One International Place,
3 Boston, Massachusetts 02110-2699, represented by ANDREW J.
4 McELANEY, JR., appeared as counsel on behalf of Defendant
5 Hollingsworth & Vose Co.

6 Also present was PAM DUMA with Shook, Hardy &
7 Bacon.

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2 August 2, 1995 2:00 p.m.

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4 EXAMINATION BY MR. OHLEMEYER

5 MR. OHLEMEYER: Q. Dr. Pooley, why don't you
6 give us your full name for the record.

7 A. Frederick David Pooley, P-o-o-l-e-y.

8 Q. And Dr. Pooley, you are, as we speak, in Cardiff,
9 Wales. Is that right?

10 A. Yes.

11 Q. And you had planned to join us in San Francisco
12 for the Wright trial, but some scheduling problems prevent
13 that.

14 A. That's correct, yes.

15 Q. Tell us what your occupation is.

16 A. I am a professor in the School of Engineering here
17 in the University of Wales at Cardiff. The --

18 Q. How long -- go ahead.

19 A. I'm head of the Division of Materials and Metals,
20 with responsibility for directing an environmental
21 engineering degree stream and for research in the
22 environmental field.

23 Q. How long have you been so employed?

24 A. I've been employed by the university for 30 years,
25 and I've been a professor for 10 of those 30 years.

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1 Q. And during that time, have you developed a
2 specialty?

3 A. My specialty in research relates to the study of
4 fine mineral particles, with special reference to their
5 biological potential and characterization and separation and
6 recovery.

7 Q. And when you say fine mineral particles, does
8 that include asbestos?

9 A. Yes.

10 Q. And what do you mean by biological potential?

11 A. Well, a large portion of our -- my research in
12 this fine mineral particle field, the dust field as it's
13 quite often referred to, is concerned with investigating the
14 biological potential, the disease potential, of fine mineral
15 dusts, especially when they are inhaled from the atmosphere.

16 Q. Okay. Describe for us, Dr. Pooley, your
17 educational background.

18 A. Well, initially I went to grammar school in London
19 until the age of 18.

20 I then proceeded via what we call an
21 apprenticeship scheme here in Great Britain to university,
22 where I obtained an honors degree in mining engineering in
23 1963.

24 In 1964, I then obtained a Master's degree in
25 minerals engineering; and in 1966, a Ph.D. in minerals

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1 engineering.

2 And since that time, 1966, when I obtained my
3 Ph.D., I've been employed in the university here in Cardiff.

4 Q. In connection with your education or your
5 profession, have you developed experience with the use of
6 electron microscopy?

7 A. Yes. From in fact my early research days while
8 studying for a Ph.D., I first became involved in what was at
9 that time the infancy of electron microscopy and have been
10 involved with it ever since.

11 Q. And is that something that you use on a regular
12 basis in your profession?

13 A. Every day.

14 Q. Do you have any experience in the analysis of lung
15 tissue for the presence of asbestos?

16 A. Yes. Yes, extensive experience.

17 Q. Would you describe that for us, please?

18 A. Well, my -- I mentioned that I've been involved in
19 electron microscopy ever since my first studies at Ph.D.
20 level.

21 And in fact, at that time I became involved with
22 the research group here in Cardiff, the Medical Research
23 Council research group, and became, as it were, a research
24 associate and a consultant and a research fellow with the
25 Medical Research Council, particularly in that particular

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1 field, studying asbestos mineral particles.

2 Q. Is that a government-sponsored organization?

3 A. Yes. The Medical Research Council is the, as it

4 were, medical research arm of the government.

5 Q. And why is lung tissue analyzed for the presence
6 or the quantity of asbestos?

7 A. Well, one of the main objectives in examining lung
8 tissue for asbestos, and any other mineral particles, is to
9 try and establish the relationship between the amount of
10 material that's inhaled and retained in the lung and the
11 progression of any subsequent dust-related disease.

12 Q. And how is that analysis undertaken?

13 A. Well, essentially, we work very closely with the
14 medical school here in Cardiff. And in conjunction with
15 them, they obtain postmortem materials or biopsy materials,
16 and we examine them to establish their mineral content.

17 And that information can then be combined together
18 with the pathological studies -- for example, fibrosis or
19 cancer. And from the study of large numbers of cases, we
20 can get a very good idea of what levels of dust are causing
21 what types of diseases.

22 Q. Is that an area that you've also done some
23 original research in?

24 A. Oh, well, all the work we do is original research.

25 Q. And have the results of that research been

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1 published in what have been described already as
2 peer-reviewed journals?

3 A. Yes. There are many publications with which I
4 have been involved.

5 Q. I'll ask you in a moment about a publication
6 specifically, Doctor.

7 But let me ask you, with respect to the fiber
8 burden analysis, can you give me some kind of estimate as to
9 how many lungs, or cases, as you use the word "case" in your
10 profession, you've been involved in as it relates to fiber
11 burden?

12 A. Well, at the present time, we've probably received
13 in the order of 20 to 30 cases or samples of lung per week.

14 So if you multiply that by 52, you get some idea.
15 We're talking about between 1- and 2,000 specimens a year.

16 Q. And for how many years have you been involved in
17 this?

18 A. Well, since 1966.

19 Q. And how many of those cases, on average, involve
20 mesothelioma?

21 A. Oh, over that time period, a very large number. I
22 would say approximately 15, 20 percent of the asbestos-
23 related cases.

24 Q. Can you give me any idea what percentage of the
25 total number of mesothelioma cases in Great Britain that

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1 that represents?

2 A. Well, on average, we -- the number has increased
3 this year. But on average, I would say we see between 20 to
4 50 percent, a figure somewhere in that region.

5 Q. And that's 20 to 50 percent of the cases of
6 mesothelioma that occur in Great Britain?

7 A. Yes.

8 Q. Do you belong to any -- do you belong to any
9 professional organizations that relate to your specialty?

10 A. Well, I am a member of many, as it were,
11 professional societies.

12 For example, I'm a fellow of the Institution of
13 Mining Mortality; a member of the American Institute of
14 Mining Engineers; a fellow of the Minerals Engineering

15 Society; and a member of Institute of Water and
16 Environmental Management. I'm also a Chartered Engineer
17 here in Great Britain.

18 Q. And how do you qualify for those organizations and
19 those memberships?

20 A. Essentially, by obtaining the correct academic
21 qualifications with the appropriate degrees in the subject
22 areas appropriate for those associations. And also, by
23 demonstrating that you have obtained the necessary
24 professional experience, either practical or research
25 experience, in the field.

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1 Q. Have you acted as a consultant to the British
2 government in the area of asbestos and disease?

3 A. Well, the -- as I mentioned earlier, it was in
4 1966 when I started work with the Medical Research Council.
5 And in fact, I became what is called a Medical Research
6 Council Research Fellow for a number of years. And in fact,
7 I was actually paid -- although I was employed by the
8 university, I was paid by the Medical Research Council.

9 And they supported our research in Cardiff up
10 until about 1985. And the responsibility then for funding
11 transferred to what is called over here the Health and
12 Safety Executive, which is a government body responsible for
13 research into health and safety matters in the occupational
14 environment.

15 And they are funding us now. So we've been
16 continually funded by government from the period 1966.

17 Q. Do you know what the World Health Organization is,
18 Doctor?

19 A. Yes.

20 Q. Tell us what the World Health Organization is.

21 A. Well, it's an organization which has been set up
22 to, as it were, advise and oversee the health of nations at
23 large.

24 An arm of the United Nations, as it were.

25 Q. Have you been a consultant to the World Health

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1 Organization on the biological effects of mineral dusts,
2 including asbestos, and their collection and quantification?

3 A. Yes, I have.

4 Q. Would you describe that for us?

5 A. I will. Well, we've been funded in several
6 studies by the World Health Organization. One of their --
7 one of the branches of their organization is known as the
8 International Agency for Research on Cancer, which is based
9 in Lyons, in France.

10 And we've undertaken several studies in
11 conjunction with that organization, both here in Great
12 Britain and abroad in countries like Turkey and Cyprus.

13 Q. You mentioned earlier that you published some
14 information in the scientific literature in this field.

15 Could you describe generally for us the types of
16 publications and the subject matters on which you've
17 published?

18 A. Well, they can be divided into various groups.
19 There are articles which relate to techniques and
20 instrumentation and methods for examining fine mineral
21 particles, including asbestos.

22 There are articles which relate to the physical
23 characteristics of airborne and respirable asbestos
24 particles and other mineral particles.

25 And there are articles which relate to studies

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1 where we have looked at the exposure of particular
2 occupational groups to mineral dust to establish their
3 exposures, and also compared those exposures with what we
4 call control populations.

5 Q. And have you collaborated on those publications
6 with other researchers in your field?

7 A. Oh, yes. When undertaking studies concerned with
8 the exposure of occupational groups or control groups to
9 dusts, including fibers, there are normally several inputs
10 to those sort of studies.

11 For example, there's the epidemiologist, who
12 establishes the history of the exposure; there are
13 pathologists who collect materials and study the anatomy of
14 the disease which the individuals might have suffered with.

15 And of course, there are ourselves, who supply, as
16 it were, the basic information relating to the dust and the
17 particles which we locate in tissues, and the concentrations
18 that they're in tissues, which were perhaps the cause of the
19 illnesses which they obtain.

20 Q. There's been some reference already in this case
21 to a Dr. Wagner, or Dr. Wagner, depending on how you
22 pronounce his name.

23 Have you and Dr. Wagner collaborated on studies
24 that have been published in the literature?

25 A. Yes. Since 1966, yes.

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1 Q. And Dr. Pooley, have you also served as a
2 court-appointed expert on matters relating to fine mineral
3 particles and/or asbestos?

4 A. Yes. We -- here in Great Britain, we -- because
5 we're a rather unique laboratory in the country -- we're the
6 only ones, if you like, to be considered to be full-time
7 investigators in this particular field in Great Britain, we
8 serve -- we serve the courts here by supplying them with
9 information relating to disease cases where the cause of
10 death is likely to have been exposure to a mineral dust.

11 And I've also acted as a court's witness in the
12 United States as well, in a case in Minneapolis.

13 Q. And what type of case was that?

14 A. Well, that was a pollution case, where a company
15 was polluting Lake Superior. And there was some cause for
16 concern for the health of the people who were living on the
17 north shore of that lake, because they drew their water from
18 the lake.

19 And so I actually provided information directly to
20 the Court on matters relating to the fibers -- fibrous
21 particulates that were in the water, fibrous particulates
22 that were in the air in that area, and also fibrous
23 particulates that were in the tissues of people who had died
24 that lived locally in that area.

25 Q. In identifying or describing fibrous particulate

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1 matter in air or in water or in tissue, are you able, using
2 certain techniques, to determine what type of mineral
3 particle you are seeing or you're dealing with?

4 A. Yes. The techniques now available allow us to
5 identify with great accuracy the individual mineral
6 particles, yes.

7 Q. And explain for me what you mean by individual
8 mineral particles.

9 A. Well, we can prepare samples of dust, whether
10 they're from air, water or tissue. We can insert them into

11 microscopes that we now have available, and we can see every
12 particle, because the magnifications we're now able to
13 obtain are very high, so we can see the smallest particles.

14 We're able to size those particles very
15 accurately. We're able to examine the chemistry of those
16 particles and obtain fairly accurate chemistry from
17 individual dust particles. And we're also able to look at
18 the structure of the particles, if they're crystalline, to
19 confirm and identify the particles very accurately.

20 Q. And when you talk about the chemical structure,
21 does that allow you to differentiate, for example, one type
22 of asbestos from another type of asbestos, or asbestos from
23 another type of fibrous mineral?

24 A. Yes. It allows us to do that very accurately.

25 Q. And is there a technique known as x-ray

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1 diffraction that allows you to do that?

2 A. Yes. X-ray diffraction allows you to do that, but
3 you need a very large number of particles in order to obtain
4 information from x-ray diffractometry.

5 Using an analytical transmission electron
6 microscope, for example, you can obtain information from a
7 single dust particle.

8 Q. Let's back up for a minute, and let me ask you how
9 you got involved in the study of asbestos, and how you
10 developed your expertise in this area.

11 A. Well, as I mentioned, part of my research for my
12 Ph.D., I was involved in using an electron microscope to
13 characterize fine mineral particles.

14 The fine mineral particles I was looking at in
15 1964 and 1965 were in fact mixtures of coal and other
16 minerals associated with coal, coal dust.

17 And at that time, Dr. Wagner -- he arrived in
18 Great Britain from South Africa in approximately 1961, and
19 he was working at the Medical Research Council Research Unit
20 in Cardiff.

21 And when he found that we had an electron
22 microscope, we were able to examine fine asbestos particles,
23 he then brought samples along, and I became involved in
24 joint research with him.

25 And the first problem that we tackled was, in

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1 fact, trying to distinguish between fibrous particles of the
2 different asbestos minerals.

3 Q. And let me ask you, Dr. Pooley, is there a level
4 of asbestos that is naturally occurring in air and in water?

5 A. Yes, there probably is. But of course, all of
6 man's activity, industrial activity and his normal day
7 social activity, adds extra asbestos, as it were, to the
8 ambient air that we breathe.

9 Q. I guess my --

10 A. And -- sorry.

11 Q. I interrupted you.

12 I guess what I should have asked is, is there a
13 level of asbestos that is normally present, as opposed to
14 naturally occurring?

15 Is there a level of asbestos that is normally
16 present in the air we breathe and the water we drink?

17 A. Well, you can walk out into San Francisco, for
18 example, and take air samples, and you would find asbestos
19 fibers in those air samples, I'm sure.

20 The levels would obviously differ from location to
21 location. And, for example, the levels would obviously be

22 related to the type of industries that are local to
23 particular towns or districts.
24 And so, for example, if you lived closer to an
25 asbestos mine, you would expect to see higher levels of
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1 asbestos particles which would have emanated from the mining
2 operations at that mine.

3 Q. Is this level -- go ahead.

4 A. Sorry.

5 Q. No, go right ahead.

6 A. I said, normally, in the western industrialized
7 world, there are virtually no locations where you can say
8 you would have a clean air sample.

9 Q. And would these air samples include different
10 types of asbestos fibers, including crocidolite asbestos?

11 A. The -- yes, there would be a range of asbestos
12 fiber types. The main mineral would of course be
13 chrysotile, because that is the most commonly used material.

14 And yes, you would find crocidolite fibers in
15 certain locations, especially where there had been use of
16 that mineral in industry, or in products that had been used
17 in building, for example, in towns and in cities.

18 Q. Have you actually been involved in research
19 projects that attempted to measure the level of asbestos
20 present in the air and try to characterize what types of
21 asbestos fibers could be found?

22 A. Yes. We have been involved in it.

23 Q. And have those studies demonstrated the presence
24 of all types of asbestos fibers in the background, as it
25 were?

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1 MR. HARLEY: Objection. Vague, overbroad.
2 Location is not definite.

3 MR. OHLEMEYER: Q. Let me rephrase the question,
4 Dr. Pooley.

5 Have you done studies -- have you been involved in
6 studies in the United States which have demonstrated the
7 presence of crocidolite asbestos fibers in the background?

8 A. Well, the -- not directly taking air samples in
9 the United States, no.

10 But of course, the -- we have been involved in
11 studies where we have examined lung tissue specimens of
12 disease cases, and also control cases in the United States.
13 And of course, the lung is perhaps one of the best natural
14 dust samples.

15 So detecting particulates in the lung is a good
16 indication that those particulates were there in the
17 environment of the individuals that we sampled.

18 Q. Have other researchers in North America conducted
19 studies that demonstrate the presence of crocidolite
20 asbestos in the background?

21 A. Yes.

22 Q. And have those studies been published in the
23 scientific literature?

24 A. Yes. There's one I can bring to mind, and that is
25 the study by Churg and Warnock of people in San Francisco.

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1 Q. Now, a few moments ago, Doctor, you said something
2 about the physical characteristics of asbestos.

3 What is it about the physical characteristics of
4 asbestos that relate to its ability to cause disease?

5 A. Well, the most important physical characteristic
6 of asbestos is the fact that it forms fibrous particles. If

7 it didn't form fibrous particles, it would have virtually
8 very little disease potential as we know it now.

9 Q. Okay. And tell me what you -- or define for us
10 what a fibrous particle is.

11 A. Well, there are many definitions of fibers. But
12 for research purposes, we consider fibers to be particles
13 which have a 3-to-1 actual ratio.

14 Q. And that means they have to be three times longer
15 than they are wide?

16 A. That's right, yes.

17 Q. With respect to asbestos, is there evidence to
18 suggest that an asbestos fiber needs to be a certain size or
19 a certain shape to create the potential to cause disease?

20 A. There is, yes, very good evidence in the
21 literature now to suggest that the longer the fiber becomes,
22 the greater the disease potential it has.

23 Q. Is there a limit to the length or the width of an
24 asbestos fiber as it relates to its ability to cause disease?

25 A. Well, the -- normal particles are inhalable and

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1 respirable. There is a limit to the length of fiber, as it
2 were, that you can get into the lung.

3 There are fibers up to 100 microns that have been
4 recorded in lungs. But normally, the top size of asbestos
5 fiber would be approximately 40 to 50 microns in length.

6 And this length is very, very important, because,
7 if you like, they are -- long, thin particles are very
8 unnatural particles. Asbestos is a very rare material. And
9 so when these long fibers get into the lung, the longer they
10 are, the harder it is to get them out, essentially.

11 And so the lengths of the fiber imparts to the
12 fiber the ability to lodge itself in the tissues and stay
13 there and cause a problem.

14 The shorter the particle becomes, the more readily
15 it can be dispersed and dealt with by the natural biological
16 mechanism of dust removal.

17 Q. Explain for us the concept of respirability; what
18 you mean when you refer to a respirable fiber.

19 A. Well, it's now well understood that if you are
20 exposed to a dust cloud, the only particles that are likely
21 to access your -- the gas exchange regions of the lung, the
22 alveolar spaces, as they're called, are particles which are
23 sufficiently small enough to stay in suspension to reach
24 those locations.

25 If particles are larger than what are called

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1 respirable, then they will tend to settle out in the airways
2 before reaching the alveoli. And if they are very large,
3 they will settle out in the nose and the mouth before
4 actually starting to descend the trachea into the lungs.

5 And so we're able to, as it were, describe
6 particles on the basis of their ability to reach these
7 alveolar regions.

8 And that's what this "respirable" term refers
9 to: The size of particles which are capable of reaching the
10 alveolar spaces, the gas exchange regions of the lung.

11 Q. And as it relates to mesothelioma, Dr. Pooley, is
12 that a prerequisite to asbestos's ability to cause disease?

13 A. Yes. Because if the particles are larger than
14 respirable size, then as I said, they will be trapped and
15 settle out higher up the respiratory system and be cleared
16 very efficiently by the natural sort of clearance mechanism
17 that we all have in our lungs.

18 Q. Now, are structures or aggregates of asbestos
19 fibers respirable?
20 MR. HARLEY: Objection. Lacks foundation as to
21 what's meant by the term.
22 MR. OHLEMEYER: Q. Let me back up, Dr. Pooley.
23 Of are you familiar with -- is asbestos described
24 as -- are words like "structure" and "aggregate" and
25 "bundle" used to describe asbestos?

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1 A. Yes. You can sometimes find asbestos not
2 occurring as single fibers, but as aggregates of fibers, as
3 it were, bundles of wooly type particles.

4 Q. And when asbestos is found in that form, is it
5 respirable?

6 MR. HARLEY: Objection. What is that form?

7 MR. OHLEMEYER: Q. Are bundles or aggregates of
8 asbestos fibers respirable?

9 MR. HARLEY: What is a bundle or an aggregate?
10 Objection. Vague as to the term.

11 MR. OHLEMEYER: Q. Okay. Doctor, I thought you
12 just defined for us what an aggregate or a bundle of
13 asbestos fibers are.

14 Would you define for me what a bundle or an
15 aggregate of asbestos fibers are?

16 A. Well, if you were to observe an aggregate or a
17 bundle, you would see a fine mesh of interwoven fibrous
18 particles.

19 Q. In that form, is asbestos respirable?

20 A. No, it's not.

21 Q. Why not?

22 A. Well, because of the interwoven shape and size of
23 these objects, they tend to be trapped in the upper
24 respiratory system, and in the trachea, and in the
25 bifurcations of the bronchioles before they penetrate into

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1 the gas exchange regions of the lung.

2 Q. Does an asbestos -- does a respirable asbestos
3 fiber have to be a certain length before it can create a
4 risk of causing mesothelioma?

5 A. Well, as I mentioned, the disease potential of
6 asbestos fibers is length-related.

7 The longer the fiber becomes, if it's respirable,
8 if it's respired, inhaled, then the greater the probability
9 that that fiber will be retained in tissues to do damage, as
10 it were.

11 The shorter the fiber, the less likely -- the
12 shorter the residence time, and the more rapidly that
13 particle will be cleared.

14 So when fibers become shorter than, say, 5
15 microns, they begin to behave like any other 5-micron-sized
16 dust particle, and can be cleared very efficiently by the
17 natural clearance mechanisms in the lung.

18 Q. And Doctor, are there regulatory standards that
19 deal with exposure to mineral particles, including asbestos,
20 in the workplace?

21 A. Yes, there are, yes.

22 Q. And do those standards differentiate between
23 fibers greater than 5 microns in length as opposed to fibers
24 smaller than 5 microns in length?

25 A. Yes, I believe they -- the standards refer to

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1 fibers longer than 5 microns in length, yes.

2 Q. What else, if anything, affects the respirable

3 potential or respirability of an asbestos fiber?
4 A. Could you repeat that question, please? I'm not
5 quite sure what you --
6 Q. Well, aside from the length or the width of an
7 asbestos fiber, is there anything else that can affect its
8 ability to find its way to the gas exchange regions of the
9 lung?
10 A. Oh, yes. If it's -- let's say, it's associated
11 with any other material.
12 For example, it could be coated with material, for
13 example. And that would impart to it -- it would give it a
14 larger size, as it were.
15 Q. And would that larger size decrease the likelihood
16 that it would find its way to the gas exchange regions of
17 the lung?
18 A. Yes. It would behave as a larger particle.
19 Q. Have you conducted research into the size and
20 shape of asbestos particles as it relates to its ability to
21 cause disease?
22 A. Yes. We have published, in fact, several papers
23 related to the size, size characteristics, size
24 distributions, length and diameter distributions of asbestos
25 fibers that are retained in lung tissue and are responsible
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1 for the biological effect.
2 Q. Now, Dr. Pooley, at my request, have you reviewed
3 some materials relating to Kent cigarettes that were
4 manufactured and sold with asbestos-containing filter
5 material?
6 A. Yes, I have.
7 Q. Specifically, have you reviewed correspondence and
8 documents from Lorillard's files relating to work done in
9 the 1950s and efforts made to analyze the smoke from those
10 cigarettes?
11 A. Yes, I have.
12 Q. Have you reviewed the patents relating to the
13 filter material and the method of manufacturing that filter?
14 A. Yes, I have.
15 Q. Have you reviewed articles that appeared in the
16 Journal of the American Medical Association during the 1950s
17 that describe the use of asbestos-containing filter material
18 in those cigarettes?
19 A. Yes, I have.
20 Q. Have you reviewed testimony from a man by the name
21 of Douglas Halgrin?
22 A. Yes, I have.
23 MR. HARLEY: Objection. Vague. Which testimony?
24 MR. OHLEMEYER: Q. Am I correct, Dr. Pooley, that
25 you've reviewed two depositions that Mr. Halgrin gave on the
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1 subject of work the Fullam Laboratories did in the 1950s for
2 Lorillard?
3 A. Yes, I have.
4 Q. And have you reviewed the photomicrographs that
5 Mr. Halgrin believes were associated with that work?
6 A. Yes. I've seen the photomicrographs and
7 diffraction patterns that were taken at that time, yes.
8 Q. And what is a photomicrograph?
9 A. Essentially, it's a picture of an object taken in
10 an electron microscope.
11 It's really what you might call a shadow picture,
12 but it would show up the shape and physical dimensions of
13 objects placed in an electron beam, and these can be taken

14 at a range of magnifications.

15 Q. And what is a diffraction pattern?

16 A. A diffraction pattern is something that's obtained
17 from a crystalline material in an electron microscope when
18 the beam of electrons is focused down onto the particle, and
19 the electrons are then scattered in an orderly manner in a
20 pattern which is dependent upon the physical structure of
21 the crystalline material.

22 Q. And what does that -- what does a diffraction
23 pattern tell you about the material that you are observing
24 in the microscope?

25 A. Well, normally these diffraction patterns are

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1 fairly unique to particular crystalline materials. And so
2 by examining the patterns, one is able to, with some
3 certainty, identify the crystalline phase that you're
4 examining with the electron diffraction beam.

5 Q. And the crystalline phase helps you distinguish,
6 or identify, what it is you're looking at?

7 A. Yes. It will tell you, for example, that, taking
8 the asbestos minerals as an example, the diffraction pattern
9 you obtain from a chrysotile fiber would be very different
10 and distinctive from that obtained from a crocidolite or
11 amosite fiber.

12 Q. And finally, Dr. Pooley, have you also reviewed a
13 report generated by a Dr. William Longo, the videotape raw
14 data and photographs associated with that report and
15 testimony --

16 A. Yes.

17 Q. -- and deposition testimony of Dr. Longo about
18 that experiment?

19 A. Yes.

20 Q. And that includes the experiment that Dr. Longo
21 did with a syringe?

22 A. Yes.

23 Q. And does that include the experiment that
24 Dr. Longo did with a smoking machine?

25 A. That's correct, yes.

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1 Q. Dr. Pooley, based upon the material that you have
2 reviewed, based upon your background, your education and
3 your experience as you've previously described it to us, do
4 you have an opinion that you can state within a reasonable
5 degree of scientific certainty as to whether people who
6 smoked Kent cigarettes with asbestos-containing filter
7 material during the 1950s were likely to have been exposed
8 to respirable asbestos fibers?

9 A. Yes, I have an opinion.

10 Q. And what is that opinion?

11 A. My opinion from the review of all the documents
12 that you've mentioned is that, as yet, there is no
13 substantial information which would indicate that fibers of
14 a respirable size were released from Kent cigarettes when
15 and if they were smoked.

16 Q. And you're familiar with Dr. Longo's experiment?

17 A. Yes.

18 Q. Does Dr. Longo's experiment establish any such
19 evidence of the release of respirable asbestos fibers?

20 A. No. But because of what I would call deficiencies
21 in the study, they -- those studies do not demonstrate the
22 release of respirable fibers.

23 Q. Would you explain for us or describe for us the
24 deficiencies in that study as you've described it?

25 A. Well, you mentioned two studies, in fact.

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1 There was an initial study, where Dr. Longo
2 utilized a technique, which I think he has published, which
3 employed the use of a syringe to simulate smoking.

4 And my criticisms of that particular piece of
5 work in which he attempted to collect particles by two
6 different techniques, which I think he referred to a direct
7 technique and an indirect technique -- but he only in fact
8 utilizes the indirect information that he's collected.

9 The deficiencies there were that he pulled a
10 volume of air through a cigarette which he had previously
11 lit, and then removed the cigarette from the syringe that he
12 used, washed out the contents, and then refiltered those
13 contents to examine them to see if he had any asbestos
14 material. And he did find some asbestos material.

15 But the problem with that study was that he was
16 washing out the contents of a syringe; then he was treating
17 that by ultrasonication and pulling it down onto a filter,
18 so that whatever he had collected in the syringe had been
19 materially altered before he finally examined it in the
20 electron microscope.

21 And so he was unable, as it were, to backtrack and
22 to actually say what the initial particles released from the
23 cigarette might have been, what shape or form they might
24 have been.

25 Q. What other specific criticisms do you have of the

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1 initial study, initial experiment?

2 A. Well, I thought that the use of a medical syringe,
3 drilling out the syringe, and the way that the rather old,
4 40-year-old cigarettes were manipulated in fact was
5 conducive to the mechanical release of material from the
6 filter.

7 And also, another criticism would be, it would be
8 extremely difficult, by hand, using syringe, to simulate the
9 puff or the smoking of a cigarette.

10 Q. You've watched the videotape that Dr. Longo
11 prepared?

12 A. Yes.

13 Q. What do you mean by the mechanical manipulation,
14 or mechanical release?

15 A. Well, the fact that the cigarette had to be
16 squeezed and manipulated into the drilled-out neck of a
17 plastic syringe.

18 Q. In your opinion, Doctor, could that manipulation
19 have accounted for each and every asbestos structure that
20 Dr. Longo claims to have observed in the syringe?

21 A. There is a possibility that they could have, yes.

22 Q. And in your opinion, Dr. Pooley, would the
23 manipulation or the alteration of the material in the
24 syringe, as you have described it, have an effect on the
25 amount or individual numbers of asbestos structures observed

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1 in the experiment?

2 A. Yes. And I think that in fact is well illustrated
3 by the results of his second experiment.

4 Q. Before I ask you about the second experiment, let
5 me ask you a couple of other questions about the first
6 experiment.

7 Have you reviewed the data that Dr. Longo and his
8 organization generated in connection with that first
9 experiment?

10 A. Yes. The energy dispersive x-ray spectra and the
11 counting sheets, yes.

12 Q. And do those counting sheets actually describe the
13 length or width of the asbestos structures observed in
14 Dr. Longo's experiment?

15 A. That's correct, yes.

16 Q. Having reviewed that data, do you have an opinion,
17 Dr. Pooley, as to whether the experiment provides any
18 evidence of the release of single respirable asbestos fibers
19 of a length and of a width capable of causing disease?

20 A. Well, as I've just mentioned, the results that he
21 obtained in those counts were obtained from particles that
22 had been dispersed in water after washing them out of a
23 syringe. They are, therefore, obviously artificial.

24 Q. Is there any way, or is there any way to -- let me
25 rephrase my question.

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1 Did Dr. Longo's experiment produce any evidence
2 from which you could make any reasonably certain conclusions
3 about the size and the shape of the particles as they would
4 have occurred, if at all, in the actual smoke from those
5 cigarettes?

6 A. No, none at all.

7 Q. And why is that, briefly?

8 A. As I mentioned before, because they were washed
9 out of a syringe, the material was washed out of a syringe,
10 dispersed to form a range of particulates, and collected on
11 a filter, and then counted.

12 Q. Was any effort made in Dr. Longo's first
13 experiment to determine whether the structures observed in
14 the syringes resulted from the mechanical forces required to
15 insert the cigarettes into the syringe?

16 A. Yes, I think that looking at the results, there is
17 some indication there because of the wide spread of the
18 results that he obtained.

19 I haven't got the report in front of me, but I
20 know that the -- he had results varying from zero release to
21 very large numbers of structures counted.

22 And that's an indication to me, anyway, that
23 there's something wrong with the fact or the claim that
24 fibers will be released, you know, when smoking the
25 cigarette.

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1 Q. Now, you've also had an opportunity to review the
2 data and report Dr. Longo generated in connection with a
3 subsequent experiment. Is that right?

4 A. Yes.

5 Q. And what if anything did the results of that
6 experiment suggest to you about the likelihood that people
7 who smoked Kent cigarettes during the 1950s would have been
8 exposed to respirable asbestos fibers?

9 A. Again, I have similar criticisms to the first
10 experiment that he performed, although I must admit that the
11 smoking of the cigarettes was much more scientific. And
12 obviously, the whole cigarette was smoked, rather than just
13 two single puffs in a syringe.

14 But again, the -- where that particular
15 experimentation again fell down is that -- the particles
16 were collected on a filter; I thought that was quite
17 correct.

18 But again, the particles, once they had been
19 collected, were in fact removed from the filter by a machine
20 and dispersed in water before refiltration and examination.

21 And again, that immediately implies that there
22 would have been some changes introduced into the physical
23 characteristics of those particulates induced by the ashing
24 and the dispersion of the particles.

25 And it would have been far better if Dr. Longo had
00035

1 looked at those particles directly on the filter, and that
2 would have given him a much better indication of what had
3 been drawn from the filter on the cigarettes.

4 Q. When you say directly on the filter, you're
5 referring to the filter through which he drew the smoke in
6 the machine?

7 A. That's correct.

8 Q. Are the --

9 A. Dr. Longo mentioned in his report, in fact, that
10 he took great care to examine the particulates. And he
11 adopted what might be called standard Environmental
12 Protection Agency protocols for that examination.

13 And those identifications include the use of an
14 electron microscope and the analysis of the particulates to
15 establish their identity.

16 But what he failed to do was adopt the initial
17 part of the Environmental Protection Agency's protocol. And
18 that relates to the handling and the preparation of airborne
19 samples for analysis on filters directly.

20 (Recess from 2:50 p.m. to 2:55 p.m.)

21 MR. OHLEMEYER: Q. Dr. Pooley, are there
22 established and published protocols that can be used to
23 examine inorganic material, such as asbestos fibers, that is
24 found in material that also contains organic material?

25 A. Yes.

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1 Q. And do those protocols allow or require the
2 procedure that Dr. Longo used that resuspended and agitated
3 the material?

4 A. No.

5 Q. So essentially, one of your criticisms of the
6 second experiment is that the established protocol for
7 observing inorganic material in a sample that contains
8 organic material was not followed.

9 A. Yes.

10 Q. Let me ask you a few questions about the Fullam
11 Laboratory and the information that you've reviewed.

12 Is there any evidence in either Mr. Halgrin's
13 testimony or the Fullam photomicrographs or the documents
14 pertaining to the Fullam Laboratory that you've reviewed
15 that suggest the release of respirable asbestos fibers into
16 the smoke of Kent cigarettes?

17 A. No, none at all.

18 Q. Does that information, the Fullam information,
19 actually suggest that such a release was unlikely?

20 A. Well, he didn't see many fibers. And what he saw
21 was a mixture of fibrous materials, the majority of which,
22 in my opinion, were chrysotile fibers.

23 Q. And that's a conclusion that you have reached
24 after reviewing the Fullam photomicrographs?

25 A. That's correct, yes.

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1 Q. And were there -- you mentioned earlier that there
2 were diffraction patterns included in those
3 photomicrographs?

4 A. Yes.

5 Q. What type of asbestos -- what type of asbestos

6 fibers were depicted in those diffraction patterns?

7 A. They were typically chrysotile diffraction
8 patterns.

9 Q. And is it your understanding, Dr. Pooley, that it
10 was crocidolite asbestos that was used in the Kent filter
11 material, not chrysotile?

12 A. I'm of that belief, yes.

13 Q. Was the -- let me rephrase my question.

14 Based on the information available to us about the
15 Fullam Laboratory and their work, is there any way to
16 exclude the possibility that the material observed in the
17 Fullam Laboratory was either background or asbestos or
18 mineral fibers not from the cigarette filters?

19 A. In my opinion, because of the variety of different
20 fibrous materials, I would suggest that it was most likely
21 contamination from the chemicals that he used in his
22 sampling experiments.

23 Q. And is -- "contamination" sounds like a strong
24 word.

25 Is there a certain amount of contamination that
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1 occurs in laboratories even today?

2 A. Oh, yes. The -- everybody who works with asbestos
3 is aware of contamination problems. Especially
4 contamination of chemicals that are used in preparation of
5 electron microscope specimens where the objective is to
6 study asbestos fibers.

7 Q. Now, have you also reviewed some material prepared
8 by an organization known as the Armour Research Foundation?

9 A. Yes, I have.

10 Q. And what if anything does that information provide
11 you in the way -- or let me rephrase my question.

12 How does that information bear upon the question
13 of whether people who smoked Kent cigarettes could have been
14 exposed to respirable asbestos fibers?

15 A. Well, the objective of the Armour Research
16 investigations, which used electron microscopy, was in fact
17 to study the characteristics and components of the smoke
18 from a range of cigarettes.

19 And in the report that I read, there was no
20 indication of the detection of any inorganic or fibrous
21 particulates in their studies.

22 Q. Dr. Pooley, we've heard some testimony and taken
23 some evidence in this case that describes electron
24 microscopy. We have also heard some testimony about light
25 microscopy.

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1 Is there any way to compare the results of a light
2 microscopy study with an electron microscopy study?

3 A. Yes. In fact, it's a laboratory experiment that I
4 conduct for my students here in Cardiff.

5 Q. And what is it that you -- or how is it that you
6 can compare the sensitivity of a light microscope to that of
7 an electron microscope?

8 A. Just by preparing a sample of asbestos on a filter
9 and examining the filter with an optical microscope, then
10 examining the filter with an electron microscope and
11 comparing the difference in the counts of particles obtained
12 per unit area of the filter.

13 Q. And have conversion factors been developed to help
14 researchers and microscopists predict what they might see
15 under a light microscope given what they are seeing under an
16 electron microscope, and vice versa?

17 A. Yes. I'm sure that most of the laboratories that
18 utilize electron microscopes in the field of asbestos
19 research have conversion factors which they have obtained
20 from examination of asbestos specimens.

21 Q. And what is a reasonable conversion factor?

22 MR. HARLEY: Objection. Lacks foundation as to
23 the conversion factor for this case.

24 MR. OHLEMEYER: I'm not sure I understand.

25 MR. HARLEY: Well, he's not said that you can have
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1 a universal. He's said it's lab-specific.

2 MR. OHLEMEYER: Q. Is there a range, Dr.
3 Pooley -- given that there is a variation from lab to lab,
4 is there a range that one might expect to encounter in
5 comparing light microscope results to electron microscope
6 results?

7 A. I'm sorry, I think we had a little glitch in
8 transmission there. Can you hear me?

9 MR. HARLEY: We've got a frozen picture.

10 THE WITNESS: Can you hear me?

11 MR. OHLEMEYER: Q. Yes. But for some reason, the
12 picture is frozen in your end.

13 A. I think we've got an electrical storm in the
14 vicinity. I'll get a technician.

15 Q. It's getting better, Doctor. I think it's -- it's
16 clearing up.

17 A. Is it?

18 Q. Yes. It's clearing up. Lightning flash.

19 A. Could you repeat that question, please?

20 Q. Let me rephrase it.

21 Is there a range of conversion factors that one
22 would use in converting data derived from an electron
23 microscope specimen as opposed to a light or optical
24 microscope specimen?

25 A. Yes. But the factors depend upon the type of
00041

1 asbestos that you're looking at.

2 Q. Let's talk about crocidolite asbestos
3 specifically, then.

4 A. Well, crocidolite asbestos forms fibers which are
5 very fine diameter. And so if you were to look at a
6 specimen of crocidolite dust, then with a light microscope
7 -- or let me put it another way -- with an electron
8 microscope, you would normally observe approximately 30
9 times more fibers than you would if you examined the
10 specimen with an optical microscope.

11 Q. Okay. And by optical, is that synonymous with
12 light microscope?

13 A. Yes.

14 Q. Now, Doctor, let me ask you a few more questions
15 about Dr. Longo's experiment. Specifically, his second
16 experiment.

17 Assume for the sake of argument, Doctor, that --
18 let me back up and ask you another question.

19 With respect to the conversion factors that you
20 just talked about, could you describe for us what the range
21 would be for chrysotile and amosite asbestos?

22 A. With chrysotile, because it forms very, very fine
23 fibers, you could have a difference in the number of
24 particles counted by EM as opposed to light microscopy of
25 maybe a hundred or more.

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1 With amosite asbestos, the conversion factor would

2 probably be somewhere in the region of about 20. Because
3 they form thicker fibers.

4 So amosite fibers are more readily observed by
5 light microscopy, followed by crocidolite, followed by
6 chrysotile.

7 Q. With respect now to Dr. Longo's second experiment,
8 the one that used three cigarettes in the smoking machine --
9 and I realize that even though that experiment used a
10 smoking machine, as opposed to the syringe experiment, you
11 still have some criticisms about the method and manner in
12 which it was conducted.

13 But let's assume for the sake of argument that
14 Dr. Longo had a reliable and representative sample; that he
15 had a properly designed and properly executed protocol; and
16 that he counted fibers, single fibers, as opposed to
17 structures in generating his data.

18 Given the data that Dr. Longo has generated from
19 that experiment, is there any way that you could compare
20 that in terms of the level of asbestos in the smoke to
21 establish regulatory standards in order to make a
22 qualitative comparison about the --

23 A. Yes.

24 Q. -- potential exposure levels?

25 A. Yes. One can make a -- could you repeat that,

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1 please?

2 Q. Yes. How would you do that?

3 A. Well, in Dr. Longo's second report, I think he has
4 a -- he has a table of results where he specifies the number
5 of structures that he observed and expresses them as numbers
6 of structures per cc of smoke.

7 He also -- we know from the experiment that the
8 cigarettes were smoked over a period of time, and that
9 approximately eight puffs were taken to smoke each
10 cigarette.

11 So we know the concentration of particles in the
12 smoke, the structures; we know how many structures would
13 then be released from smoking a single cigarette in that
14 machine. And we would then be able to calculate if we
15 wanted to the number of structures that would be released
16 from a whole packet, if necessary.

17 We also know that if we were to try and compare it
18 with some occupational exposure standards, we know that we
19 had to compare the exposure of an individual to the dust
20 over an 8-hour period.

21 So if we know the total number of structures to
22 which an individual is exposed, and we know the volume of
23 air that an individual would inhale over an 8-hour period,
24 we would then be able to work out how many structures per
25 unit volume of air over an 8-hour period somebody would be

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1 exposed to.

2 Q. And could you make that -- and that essentially is
3 a mathematical calculation?

4 A. Yes.

5 Q. Could you make that -- given the data that
6 Dr. Longo has generated, you could make that calculation for
7 both number of structures and/or number of structures
8 greater than 5 microns. Is that right?

9 A. Yes. Yes.

10 Q. Because he's made a distinction in his report
11 along those lines?

12 A. Well, yes. He actually talks about a

13 time-weighted average value of something like 21 structures
14 per cc.

15 But of course, in his report, he is only referring
16 to the smoke. He's not referring to the volume of air that
17 an individual would inhale over an 8-hour period. And all
18 standards are calculated on the total volume of air that an
19 individual would be exposed to over an 8-hour period -- or
20 inhale over an 8-hour period, sorry.

21 Q. And what is that volume? Is there a number or a
22 range?

23 A. Oh, yes. Roughly, it would be in the order of 10
24 million cc's over an 8-hour period.

25 Q. When you take Dr. Longo's data, Dr. Pooley, and
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1 you make that calculation, do you come up -- I take it you
2 end up with a number that represents structures per cc?

3 A. Yes. One could arrive at a number, yes.

4 Q. And when you do that calculation and arrive at a
5 number, what if anything can you tell us about how that
6 number compares to the background level of asbestos or the
7 range of background asbestos one would expect to encounter
8 in North America?

9 MR. HARLEY: Objection. Lacks foundation. You
10 can't compare something he hasn't testified to.

11 MR. OHLEMEYER: Q. Let me rephrase the question,
12 Doctor.

13 When you make those calculations with Dr. Longo's
14 numbers, what kind of numbers do you turn up with in terms
15 of a fiber-per-cc or a structure-per-cc exposure over the
16 8-hour period?

17 MR. HARLEY: Objection. Lacks foundation; failure
18 to produce a report. And also, I don't know what numbers
19 he's talking about. I don't know which experiment, which
20 set of lab experiments, nothing.

21 MR. OHLEMEYER: Q. Well, let me ask you a
22 hypothetical question, Dr. Pooley.

23 Let's assume that Dr. Longo's second experiment
24 generated on average 16 1/2 structures per cc of smoke.

25 A. Yes.

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1 Q. Okay. If you took 16 1/2 structures per cc of the
2 smoke and multiplied it by 280, which as Dr. Longo suggests
3 is the total volume in cc's of smoke from a single
4 cigarette, would that give you the number of structures you
5 would expect to observe per cigarette?

6 A. That's correct, yes.

7 Q. If I multiplied that by 20, then would that give
8 you the number of structures you would expect to observe
9 from smoking a pack of cigarettes?

10 A. That's correct, yes.

11 Q. And then if I wanted to calculate a time-weighted
12 average that I could express in terms of structures or
13 fibers per cc for the exposure, would I divide that by 10
14 million cc's?

15 A. Yes. That 10 million would assume that you smoked
16 the packet of 20 over a -- 20 cigarettes over an 8-hour
17 period, yes.

18 Q. Well, what number -- what would you use -- how
19 would that number change if it took you longer than 8 hours
20 to smoke a pack of cigarettes?

21 A. You would have to divide the number by 30 million
22 cc's. That would be the amount of air that you would inhale
23 in a day.

24 Q. If I wanted to make an apples-to-apples
25 comparison, though, to a regulatory standard, I'd have to
00047
1 use the volume that one breathes in 8 hours. Is that right?
2 A. That's correct, yes.
3 Q. Does Dr. Longo's experiment, Professor, provide
4 any evidence -- his second experiment, provide any evidence
5 to suggest that these cigarettes, as tested in his
6 experiment, released above-background levels of asbestos
7 into the smoke?
8 A. I'm sorry, I didn't quite catch your question
9 there.
10 Q. Okay. Does Dr. Longo's second experiment, using
11 the smoking machine, provide or suggest that the cigarettes
12 used in that experiment released above-background levels of
13 asbestos structures into the smoke?
14 A. Not if calculated over that time-weighted average
15 period, no.
16 Q. Professor, that's all the questions I have. Mr.
17 Harley has some questions for you, and I'm going to change
18 seats with him.
19 A. Do you mind if I have just a short break?
20 Q. Very good. Whenever you're ready.
21 A. A short break?
22 MR. OHLEMEYER: Absolutely.
23 (Recess from 3:11 p.m. to 3:15 p.m.)
24 MR. OHLEMEYER: Q. Dr. Pooley, before Mr. Harley
25 asks you -- I'm going to ask you a couple more questions
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1 before Mr. Harley starts.
2 A. Certainly.
3 Q. You're familiar with the -- are you familiar with
4 the threshold limit values adopted by the ACGIH for exposure
5 to asbestos?
6 A. In California?
7 Q. In the United States?
8 A. The United States. I believe it's .2 fibers.
9 Q. Well, I think -- we misunderstood each other.
10 In general, generally, Doctor, are you familiar
11 with the threshold limit values that have been adopted by
12 the ACGIH in the United States since 1946?
13 A. Not since 1946, no.
14 Q. I guess what I'm asking is not whether you know
15 each specific one, but in general terms, are you aware of
16 the fact that there have been threshold limit values adopted
17 for exposure to asbestos in this country for some time?
18 A. Yes. They used to be -- they used to be expressed
19 in rather strange units. For example, millions of particles
20 per cubic foot, I believe. Yes, I am aware of that.
21 Q. And are those threshold limit values established
22 based on examination of air samples through a light or
23 optical microscope?
24 A. Yes.
25 Q. And are the current regulatory standards that
00049
1 define the level of exposure to asbestos, the allowable
2 levels of exposure to asbestos, using -- do they use light
3 or optical microscopes?
4 A. Light microscopes, yes.
5 Q. So if I wanted to -- if you wanted to take a
6 time-weighted average that you derived using electron
7 microscopy and compare it to a regulatory standard or a
8 threshold limit value that was based on light microscopy,

9 would you have to use a conversion factor as we've discussed
10 previously?

11 MR. HARLEY: Objection. Beyond the scope of his
12 designation, beyond his expertise, and lacks foundation.

13 MR. OHLEMEYER: Q. You can answer the question.

14 A. Yes, you would have -- if you wanted to compare or
15 if you wanted to predict what you would see with a light
16 microscope after having obtained an electron microscope
17 result, you would have to divide by the conversion factors
18 we were talking about earlier.

19 So for example, with crocidolite, you would divide
20 your number by a factor of 30.

21 Q. Doctor, those are all the --

22 A. Which will give you a very much smaller -- a very
23 much smaller number.

24 MR. OHLEMEYER: Thank you, Dr. Pooley. Those are
25 all the questions I have for you.

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1 --o0o--

2 EXAMINATION BY MR. HARLEY

3 MR. HARLEY: Q. Good evening, Doctor.

4 A. Good evening.

5 Q. This is Phil Harley.

6 A. How are you, sir?

7 Q. Pretty good, thank you.

8 Dr. Pooley, I want to pick up a little bit talking
9 about what you were just discussing, or related to it.

10 You are familiar with something called a
11 time-weighted average, which is a level of asbestos exposure
12 averaged over an 8-hour day. Isn't that correct?

13 A. Yes.

14 Q. There are also regulations and standards related
15 to peak exposure limits. Isn't that correct?

16 A. Yes.

17 Q. Those standards are that you're not to exceed that
18 concentration of asbestos in the air at any point in the
19 day. Isn't that correct?

20 A. I believe so, yes.

21 Q. And what is that standard for asbestos now?

22 A. In the United States, I'm not sure.

23 Q. What is it in the United Kingdom?

24 A. Well, they don't have a short-term exposure limit
25 which is a particular peak value. They have what are called

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1 10-minute values, that the value should not be exceeded for
2 a period of 10 minutes.

3 Q. And what is that value?

4 A. And it's of the order of 5 fibers per ml, I
5 believe.

6 Q. 5 fibers per cc or ml?

7 A. ML, yes. It's that sort of order of magnitude,
8 yes.

9 Q. And Doctor, if you went into a room with 16.5
10 fibers of crocidolite per cc, you'd wear a respirator,
11 wouldn't you?

12 MR. OHLEMEYER: Objection.

13 THE WITNESS: Well, I'd have to know they were
14 there. I mean, obviously, it's like saying if the room's on
15 fire, you're not going to walk in there.

16 MR. HARLEY: Q. Right. But if you knew they were
17 there, you'd wear breathing protection, wouldn't you?

18 A. If there was any pathogenic material airborne,
19 yes, I'd wear a respirator, yes.

20 Q. And you'd want to know whether that fiber was in
21 the air before somebody would let you walk in the room,
22 wouldn't you?

23 MR. McELANEY: Objection.

24 THE WITNESS: I'd like to have that information,
25 yes, obviously.

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1 MR. HARLEY: Q. Doctor, there's no doubt that
2 air contaminated with 16.5 fibers of cc -- fibers per cc of
3 crocidolite would be considered above any known standard
4 today, wouldn't it?

5 A. Well, no. It's -- if you think of .2 fibers per
6 ml, and you -- and that's an optical standard, based upon a
7 light microscope -- and you were to then multiply that .2
8 fibers by a factor of 30, because a light microscope can't
9 -- you can't see all the fibers in a light microscope, then
10 you would come up with a value of 6 fibers per ml.

11 And so what we're saying is that the standards
12 themselves, although they may specify .2 fibers, are really
13 specifying 6 fibers per ml concentration.

14 Q. Doctor, are you aware of any standard promulgated
15 by EPA, United States Occupational Safety and Health
16 Administration, or the Environmental Protection
17 Administration which recommends multiplying your fiber
18 counts from electron microscopy by a factor of 30?

19 A. No.

20 Q. Doctor, you reviewed the Armour Research
21 Foundation materials related to Kent cigarettes?

22 A. Yes.

23 Q. And that's entitled "The Physical Properties of
24 Cigarette Smoke"?

25 A. I believe so, yes.

00053

1 Q. Can you tell me where in that report they looked
2 for mineral content?

3 A. Well, they were examining smoke generated and
4 particles in the smoke. And if there had been any mineral
5 particles generated, then obviously they would have
6 commented upon them.

7 Q. They did not say there were no mineral particles
8 generated, did they?

9 A. No. They didn't observe it.

10 Q. What they did say is, using the impinger method of
11 calculating particles, they found in Kent cigarettes 400
12 million particles per cubic centimeter. Isn't that correct?

13 MR. OHLEMEYER: Objection.

14 THE WITNESS: Of smoke, yes.

15 MR. HARLEY: Q. Well, that -- they said
16 particles, didn't they?

17 A. Well, smoke particles, yes.

18 Q. And Doctor, were you provided any of the
19 correspondence between the Fullam Laboratories and the Kent
20 -- or Lorillard, Mr. Parmele at Lorillard?

21 A. Yes, I did see some of those letters, yes.

22 Q. Can you tell me -- you reviewed the patents of the
23 Kent cigarettes. Isn't that correct?

24 A. I think the patents really referred to the
25 manufacturer of the filter, not the manufacturer of the

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1 cigarette, I believe.

2 Q. Okay. What minerals were contained in the Kent
3 filter other than asbestos?

4 A. Oh, I haven't got the patent in front of me. My

5 memory isn't that good, I'm afraid, to list the sort of
6 contents of the filter.

7 Q. I don't mean to get oversimplistic, but is cotton
8 a mineral?

9 A. No.

10 Q. It doesn't contain any minerals, does it?

11 A. No, not that I'm aware of, no.

12 Q. And is cellulose a mineral?

13 A. No.

14 Q. Is acetate a mineral?

15 A. Not that I'm aware of.

16 Q. Okay. So Doctor, when Mr. Parmele is asking
17 Dr. Fullam to test cigarettes to confirm the release of
18 mineral fibers, is he talking about asbestos, in your
19 opinion?

20 MR. OHLEMEYER: Objection.

21 MR. McELANEY: Objection.

22 THE WITNESS: I have no idea.

23 MR. HARLEY: Q. What did you understand the
24 purpose of the Fullam Laboratory testing to be?

25 A. To investigate the release of fibrous particles.

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1 Q. In particular, mineral fibers. Isn't that correct?

2 A. That might have been in the letter. I can't
3 remember the letter.

4 Q. And do you recall Dr. Parmele referring to Althera
5 Revere's experiments?

6 A. Vaguely, yes.

7 Q. Do you recall whether Dr. Parmele characterized
8 Althera Revere's experiments as indicating there was a
9 mineral fiber release from Kent filters?

10 MR. OHLEMEYER: Objection.

11 THE WITNESS: Again, I haven't got the letter in
12 front of me, so it would be -- I'm just dredging my memory.
13 But I think there was some reference in the letters to
14 Dr. Fullam, a reference to Ms. Revere's experiments, yes.

15 MR. HARLEY: Q. Do you have any reason to believe
16 or any knowledge whatsoever that Althera Revere's laboratory
17 was contaminated or her results were contaminated?

18 MR. OHLEMEYER: Objection.

19 THE WITNESS: No, I have no information or no
20 indication that that was the case, no.

21 MR. HARLEY: Q. Doctor, you indicated in
22 reviewing the photomicrographs of the testing conducted at
23 the Fullam Laboratories, you found evidence of other
24 minerals being identified in the electromicrographs. Is
25 that correct?

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1 A. Let's put it this way: My sort of opinion after
2 looking at those micrographs and the diffraction patterns
3 were that the fibers -- the fibrous particles recorded in
4 those micrographs were mineral particles, yes.

5 Q. And now, what I want to know is, the ones that you
6 identified as being chrysotile, which photomicrographs, or
7 which cigarette test lot, were those associated with?

8 A. I had no way of linking the micrographs to the
9 variety of cigarettes that were tested.

10 Q. You understand that the Fullam Laboratories tested
11 six different versions of cigarettes. Is that correct?

12 MR. McELANEY: Objection.

13 THE WITNESS: I believe there were a number of
14 formulations, yes.

15 MR. HARLEY: Q. You do not know whether the

16 minerals that you saw on the photomicrographs came from the
17 regular Kent test, do you?

18 MR. McELANEY: Objection.

19 MR. OHLEMEYER: Objection.

20 THE WITNESS: No.

21 MR. HARLEY: Q. And you don't know whether the
22 chrysotile asbestos you observed on the photomicrographs
23 came from the regular Kent test, do you?

24 MR. McELANEY: Objection.

25 MR. OHLEMEYER: Objection.

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1 THE WITNESS: No, I don't.

2 MR. HARLEY: Q. Doctor, I want to talk -- or ask
3 you some questions about Dr. Longo's tests.

4 You recall reviewing Dr. Longo's tests before he
5 conducted the cigarette test -- smoking machine test, don't
6 you?

7 A. Yes, I do.

8 Q. And do you recall testifying prior to that that if
9 he used a smoking machine, much of your criticism of his
10 tests would go away?

11 A. Yes, I made that statement, yes.

12 Q. Doctor, have you ever conducted any smoking
13 machine tests on old Kent cigarettes?

14 A. No, I haven't, no.

15 Q. Have you asked Lorillard or Hollingsworth & Vose
16 for permission to do that?

17 MR. OHLEMEYER: Objection.

18 THE WITNESS: No, I haven't.

19 MR. HARLEY: Q. Could you design a test for
20 determining whether old Kents released fibers from the
21 filter during normal smoking?

22 MR. McELANEY: Objection.

23 THE WITNESS: Yes, I think we could probably make
24 a good attempt at it, yes.

25 MR. HARLEY: Q. And you've told -- you've

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1 testified in response to pretty much that same question in
2 the past with the same answer, haven't you?

3 MR. OHLEMEYER: Objection.

4 THE WITNESS: Sorry?

5 MR. HARLEY: Q. Do you recall last year when you
6 testified that -- you were asked the same question by Mr.
7 Brayton, and gave pretty much the same answer, that you've
8 told Lorillard and Hollingsworth & Vose that you're capable
9 of designing a valid test?

10 MR. McELANEY: Objection.

11 MR. OHLEMEYER: Objection.

12 THE WITNESS: I can't remember providing an answer
13 like that. But anyway, we would be capable of doing it, yes.

14 MR. HARLEY: Just give me one moment here.

15 Q. Doctor, I'm going to you ask you if you recall
16 during your testimony of January 24, 1994, if you testified
17 in the following manner:

18 "Question:" -- Page 2905, line 8 through line
19 13 -- "And you have indicated that you thought tests could
20 be designed which would provide useful information; is that
21 correct?

22 "Answer: I am sure if you -- yes, if you design
23 them correctly, you could obtain useful information."

24 Do you recall giving that testimony in 1994?

25 A. Yes. I think it's -- but it's written down there,

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1 so yes, I do believe that, yes.
2 Q. Okay. And despite -- between January of 1994 and
3 July of 1995, have you undertaken any tests or designed any
4 tests to see if Kent cigarettes release asbestos fiber --
5 old Kents -- during their smoking?
6 A. No, I haven't, no.
7 Q. Doctor, have you ruled out the possibility that
8 old Kents released respirable-size asbestos fibers during
9 their smoking in the 1950s? Have you ruled out that
10 possibility?
11 A. No, I haven't, no.
12 Q. Doctor, there were some questions asked you by Mr.
13 Ohlemeyer concerning fiber bundles, fiber aggregates and
14 fiber structures.
15 Do you recall that?
16 A. (Witness nods head.)
17 Q. You have to answer yes.
18 A. Yes.
19 Q. And -- I mean, you couldn't just nod your head.
20 You didn't have to answer yes.
21 Doctor, what is your definition of a fiber
22 structure?
23 MR. McELANEY: Objection.
24 THE WITNESS: Well, the same that I gave to Mr.
25 Ohlemeyer. That it's a particle with a 3-to-1 actual ratio.
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1 MR. McELANEY: Objection.
2 THE WITNESS: That's the definition we utilize for
3 research and counting purposes.
4 MR. HARLEY: Q. All right. And is that the same
5 definition that the EPA uses in setting its counting
6 standards?
7 MR. McELANEY: Objection. Phil, didn't you use an
8 impermissible phrase, "fiber structure"?
9 MR. HARLEY: Structures.
10 MR. McELANEY: But you have to ask the question
11 either "fiber" or "structure," and you said a "fiber
12 structure."
13 MR. HARLEY: Q. Doctor, I don't mean to misspeak.
14 I'm trying to be precise here.
15 The term "structure" in counting asbestos fibers
16 using electron microscopy has -- is a term of art. It has a
17 meaning unique to that situation. Is that fair to say?
18 A. Yes. It indicates that the -- you have groups of
19 fibers which are not individual, basically.
20 Q. And Doctor, is it fair to say that if you had
21 three individual fibers who have fallen on top of each other
22 and formed a triangle, you would call -- that would be
23 properly defined under EPA counting guidelines as a single
24 structure?
25 A. Yes.
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1 Q. Even though it's composed of three clearly
2 identified single fibers?
3 MR. OHLEMEYER: Objection.
4 THE WITNESS: Yes.
5 MR. HARLEY: Q. You mentioned an article by Drs.
6 Churg and Warnock concerning background levels of asbestos
7 in the air. Do you recall that?
8 A. Yes.
9 Q. Drs. Churg and Warnock were formerly associated
10 with the University of California at San Francisco Medical
11 School. Is that correct?

12 A. Yes.
13 Q. And the article they published is a group of
14 autopsies from people in which they did asbestos burden
15 analyses for that group of people. Is that correct?
16 A. Yes.
17 Q. And this is not an air sampling type of analysis;
18 this is from lung tissue?
19 A. That's correct, yes.
20 Q. And some of those people in that study they
21 identified -- first of all, they identified the occupations
22 of the subjects. Is that correct?
23 A. I believe the article does contain that sort of
24 information, yes.
25 Q. And some of the occupations identified are clearly
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1 occupations in which you would expect asbestos exposure,
2 including shipyard work. Isn't that correct?
3 A. I haven't got the article in front of me, so I
4 really can't say "yes" or "no" to that question.
5 The only thing I can say with regard to occupation
6 of the individuals they examined was that they selected the
7 tissues such that they had extremely low asbestos body
8 counts. And they used that as a way of indicating that the
9 individuals had not been occupationally exposed to asbestos.
10 Q. Since that time, a wide variety of American
11 pathologists and researchers have done similar
12 investigations. Is that correct?
13 A. Yes, I believe that there are a number of people
14 involved in this field now, yes.
15 Q. Including Dr. Roggli and Dr. Dodson?
16 A. Yes, Dr. Roggli in North Carolina --
17 Q. Yes. Dr. Dodson, University of Texas?
18 A. Texas. And there's Gerald Abraham in Syracuse,
19 yes.
20 Q. Right. Now, Doctor, in addition to that method of
21 finding out what background is, there have been literally
22 hundreds of published reports of samples taken from the air
23 in the United States, haven't there?
24 A. Oh, yes. Yes.
25 Q. And are you familiar with an American

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1 government-sponsored group called AHERA, A-H-E-R-A, American
2 Health Effects Research Association -- Asbestos Health
3 Effects --
4 A. I think they're based in Boston, aren't they? At
5 Harvard University. I think they are, yes.
6 Q. And Doctor, they've done -- they've published
7 articles comparing background samples of air taken
8 throughout the United States, haven't they?
9 A. Yes.
10 Q. In their air sampling techniques, how often have
11 they ever found crocidolite in the ambient air of the United
12 States?
13 A. I have no idea.
14 Q. Is it less than one in a thousand?
15 MR. McELANEY: Objection.
16 THE WITNESS: I have no idea.
17 MR. HARLEY: Q. There is a difference between the
18 amount of crocidolite that you would expect to find in the
19 United States versus where you live in the United Kingdom;
20 is that correct? Great Britain.
21 A. Yes. I believe we used more crocidolite in this
22 country, yes.

23 Q. And you've done a considerable body of research on
24 the health effects of crocidolite. Is that correct?

25 A. That's correct, yes.

00064

1 Q. And you have opinions on whether the various fiber
2 types are capable of causing mesothelioma, don't you?

3 A. Yes.

4 Q. What is your opinion about the ability of
5 chrysotile acting alone to cause mesothelioma?

6 A. In my opinion, there isn't any information in the
7 literature to indicate that chrysotile alone would be the
8 cause, or the causative agent, as far as mesothelioma goes.

9 Q. And what's your opinion as to the ability of
10 crocidolite to cause mesothelioma?

11 A. Oh, I think there's considerable information to
12 show that it is a causative agent.

13 Q. You believe crocidolite is the primary cause of
14 mesothelioma, don't you?

15 MR. McELANEY: Objection.

16 THE WITNESS: Yes.

17 MR. HARLEY: Q. I believe you have described
18 crocidolite as the, quote, "premier culprit," end quote, in
19 mesothelioma causation. Is that correct?

20 A. Yes. I believe so, yes.

21 Q. And Dr. Pooley, the -- in recent testimony,
22 weren't you asked if you would smoke old Kent cigarettes
23 with an asbestos filter?

24 MR. OHLEMEYER: Objection.

25 THE WITNESS: Yes, I was asked.

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1 MR. HARLEY: Q. And didn't you say you would
2 not, because you thought they were -- you wouldn't even pick
3 one up?

4 MR. McELANEY: Objection.

5 MR. OHLEMEYER: Objection.

6 THE WITNESS: Yes.

7 MR. HARLEY: No further questions.

8 --o0o--

9 FURTHER EXAMINATION BY MR. OHLEMEYER

10 MR. OHLEMEYER: Q. Dr. Pooley, I just have a few
11 questions.

12 The issue of fiber type -- now, wait a second.

13 Dr. Pooley, Mr. Harley asked you a question about
14 smoking a cigarette with a crocidolite filter today.

15 A. Yes.

16 Q. And you don't recall ever saying that you would
17 never pick one up, do you?

18 A. No. I think -- when I was asked the question
19 previously, I think it referred to smoking it.

20 Q. Your testimony is not that you would not pick up a
21 cigarette with a crocidolite filter, is it?

22 A. Oh, no. No. I'd pick one up, yes. But I
23 wouldn't smoke it. I wouldn't smoke it, because I consider
24 that smoking tobacco is far more injurious to your health
25 than inhaling crocidolite.

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1 Q. The issue of fiber type and whether certain types
2 of asbestos fibers are more likely than other types to cause
3 disease, that is an issue that other researchers have looked
4 at and come to different conclusions than yours. Isn't that
5 right?

6 A. Oh, yes.

7 Q. And the idea -- or let me rephrase my question.

8 If one were to do or to try to design a test to
9 try to determine whether cigarettes manufactured and sold in
10 the 1950s released respirable asbestos fibers, one would --
11 would such a test require a representative and reliable
12 sample?

13 A. Oh, of course.

14 Q. You would need cigarettes in the same or
15 substantially similar condition to those that were
16 manufactured and sold at the -- during the relevant time
17 period?

18 A. Of course.

19 Q. The regulatory standards that were discussed, are
20 those based on an 8-hour time-weighted average?

21 A. I'm not sure which ones you're referring to.

22 Q. Mr. Harley asked you about some regulatory
23 standards. OSHA, EPA.

24 Are those 8-hour time-weighted averages?

25 A. Well, there are various standards. There is an
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1 8-hour time-weighted average, but there are also short-term
2 exposure levels and maximum exposure levels.

3 Q. So for example, if you wanted to compare the
4 16-fiber-per-cc number described by Dr. Longo with a
5 regulatory standard, you would have to first convert it to a
6 time-weighted average in the manner you described before?

7 A. Well, if you had the ability to measure short-term
8 exposures, then you could, as I said, predict what the
9 short-term exposure might be and then utilize that if
10 necessary.

11 But it would have to be averaged over time.

12 Q. And --

13 A. In Great Britain, we average it over a 10-minute
14 period.

15 Q. But on this side, we do it on an 8-hour period?

16 A. Well, the -- your TV -- I think you call it TVL?

17 Q. TLV.

18 A. TLV. TLV, sorry. We call it occupational
19 exposure standard here.

20 But yes, your TLV is based upon an 8-hour
21 time-weighted average, yes.

22 Q. Now, finally, Doctor, as a general proposition, I
23 think you would agree that anything is possible, would you
24 not?

25 A. Yes. Yes.

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1 Q. But based upon your background and your experience
2 and the information available to you, is it your opinion
3 that it is more likely than not that these cigarettes would
4 not have released respirable asbestos fibers when they were
5 smoked during the 1950s?

6 A. There is no indication so far in the information
7 that I have seen that respirable fibers have been released
8 or would have been released from those, no.

9 MR. OHLEMEYER: Thank you very much, Dr. Pooley.
10 That's all I have.

11 MR. HARLEY: Just a couple --

12 MR. OHLEMEYER: Mr. Harley as a few for you.

13 --o0o--

14 FURTHER EXAMINATION BY MR. HARLEY

15 MR. HARLEY: Q. Dr. Pooley, I'll be brief. I
16 know it's getting late over there.

17 Dr. Pooley, back to the issue of picking up, you
18 are of the opinion that merely picking up and handling the

19 Kent -- old Kent cigarette, without smoking it, but just
20 handling it with your hands, causes fibers to come out of
21 the filter. Isn't it correct?

22 MR. McELANEY: Objection.

23 THE WITNESS: Oh, I have no idea.

24 MR. HARLEY: Q. Well, isn't that why you
25 criticized Dr. Longo's work, is that you said by him

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1 handling the filter, handling the cigarettes, he caused
2 fiber release?

3 MR. McELANEY: Objection. Mischaracterizes the
4 testimony.

5 THE WITNESS: Yes. Well, he was manipulating the
6 filter in order to insert it into a pre-drilled hole.

7 And -- but picking up a -- picking up a cigarette and
8 holding it in your hand, I doubt if that's going to cause
9 any release of material.

10 MR. HARLEY: Q. But holding the filter and
11 rolling it between your fingers and manipulating it the way
12 a smoker might do, is that going to cause a release?

13 MR. McELANEY: Objection.

14 THE WITNESS: I would -- yes, possibly, yes.

15 MR. HARLEY: No further questions.

16 MR. OHLEMEYER: That's all we have, Doctor. Thank
17 you very much for staying up late with us. I appreciate
18 it.

19 THE WITNESS: I'll sing the National Anthem now

20 MR. OHLEMEYER: Thank you very much, Doctor.

21 (Time noted, 3:50 p.m.)

22 --o0o--

23

24

25 _____
Signature of the Witness